

# Numerical fiscal rules in the EU member states: New rule.


Questions marked with an asterisk \* require an answer to be given.

## 1 Description of the rule


1.1 Please choose from:

\*

- The present rule was in force in 2009
- The present rule was introduced in 2010


 1.2 Please define the nature of the rule: \*

- Expenditure rule
- Revenue rule
- Budget balance rule
- Debt rule

 1.3 Please provide a general description of the rule.

\*

(maximum 4000 caractères)

 1.4 Has this rule been reformed since the last update of the fiscal governance database in 2009? \*

- Yes
- No



1.5 Please describe the changes affecting the rule:

\*

(maximum 1000 caractères)



1.6 Please indicate the main reasons for the reform of the rule.

Please mention any related particular event (such as institutional reform, changes in legislation to adapt to the requirements of the Treaty fiscal framework, etc.).

Please indicate the date of important decisions taken. \*

(maximum 1000 caractères)



1.7 Indicate the approximative starting date of the discussion on the reform of the considered rule (MM/YY).

\*

(entre 5 et 5 caractères)



1.4 Please indicate the main reasons for the introduction of the rule.

Please mention any related particular event (such as institutional reform, changes in legislation to adapt to the requirements of the Treaty fiscal framework, etc.).

Please indicate the date of important decisions taken.

\*

(maximum 2000 caractères)



1.8 Indicate the approval date of the amendment of the rule (MM/YY). \*

(entre 5 et 5 caractères)



1.9 Indicate the date the reform of the rule entered/should enter into force (DD/MM/YY). \*

(entre 8 et 8 caractères)



1.5 Indicate the approximative starting date of the discussion on the introduction of the considered rule (MM/YY).

\*

(entre 5 et 5 caractères)



1.6 Indicate the approval date of the rule (MM/YY). \*

(entre 5 et 5 caractères)



1.7 Indicate the date the rule entered/should enter into force (DD/MM/YY).<sup>\*</sup> (entre 8 et 8 caractères)



Explanation/additional information.

(maximum 2000 caractères)

## 2 Coverage and target definition



2.1 Are all sectors of the general government covered by the rule?<sup>\*</sup>

Yes

No



2.1 Are all sectors of the general government covered by the rule?<sup>\*</sup>

Yes

No



2.2 Please indicate the sector(s) of general government covered by the rule (*multiple replies possible*):<sup>\*</sup>

local government

regional government (autonomous regions or federated states)


central government

social security


other

 2.2 Please indicate the sector(s) of general government covered by the rule (*multiple replies possible*): \*


- local government
- regional government (autonomous regions or federated states)
- central government
- social security
- other

 Please specify:


\*  
(maximum 200 caractères)

 Additional information:


(maximum 500 caractères)

 2.3 Please indicate the share of local government in general government expenditure (in percent): \*


- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 2.3 Please indicate the share of local government in general government revenue (in percent): \*

- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 2.4 Please indicate the share of regional government in general government expenditure (in percent): \*

- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 2.4 Please indicate the share of regional government in general government revenue (in percent): \*

- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |



2.5 Please indicate the share of central government in general government expenditure (in percent): \*

- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |




2.5 Please indicate the share of central government in general government revenue (in percent): \*

- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |




2.6 Please indicate the share of social security in general government expenditure (in percent): \*


- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 2.6 Please indicate the share of social security in general government revenue (in percent): \*

- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 2.7 What is the time frame covered by the rule? \*


- one-year budgetary cycle       multi-annual       other

 How many years are covered? \*

- 2 years       4 years       More  
 3 years       5 years       Legislative period


 Please, specify.

\*  
(maximum 2000 caractères)


 Additional information:

(maximum 500 caractères)




 2.8 Please indicate the accounting system in which the budgetary aggregate targeted by the rule is specified: \*


- ESA 95 accounting       cash/budgetary accounting       other

 Please specify:


\*  
(maximum 200 caractères)

 Additional information:

(maximum 500 caractères)

 2.9 Please indicate the definition of the budgetary aggregate targeted by the rule: \*

- Structural balance       Primary balance except capital investment  
 Primary balance       Other

 2.9 Please indicate the definition of the budgetary aggregate constrained by the rule: \*

- nominal expenditure       growth of nominal expenditure       allocation of expenditure to a specific purpose  
 real expenditure       growth of real expenditure       other

 2.9 Please indicate the definition of the constraint given by the rule:


\*

- |  |  |   |
|--|--|---|
| <input type="radio"/> nominal debt                     | <input type="radio"/> debt to GDP ratio            | <input type="radio"/> decline of debt (nominal or real) |
| <input type="radio"/> real debt                        | <input type="radio"/> debt as % of current revenue | <input type="radio"/> decline of debt to GDP ratio      |
| <input type="radio"/> growth of debt (nominal or real) | <input type="radio"/> debt service ratio           | <input type="radio"/> other                             |

 2.9 Please indicate the definition of the constraint given by the rule:

\*


- |  |  |   |
|--|--|---|
| <input type="radio"/> allocation of unexpected revenue | <input type="radio"/> limits on direct tax rates   | <input type="radio"/> growth of revenue in relation to GDP growth |
| <input type="radio"/> earmarking specific revenue      | <input type="radio"/> limits on indirect tax rates | <input type="radio"/> other                                       |

 Please specify:

\*

(maximum 200 caractères)

 Additional information: (maximum 500 caractères)

 2.10 Please indicate the unit of measurement of the budgetary aggregate targeted by the rule: \*

- |                                     |                                |
|-------------------------------------|--------------------------------|
| <input type="radio"/> nominal terms | <input type="radio"/> % of GDP |
| <input type="radio"/> real terms    | <input type="radio"/> other    |



2.10 Please indicate the unit of measurement of the budgetary aggregate targeted by the rule:

\*

- nominal terms
- real terms
- per cent
- % of GDP
- percentage points related to GDP growth
- percentage points related to a specific concept of economic growth (e.g. potential output)
- other



2.10 Please indicate the unit of measurement of the budgetary aggregate targeted by the rule:

\*

- nominal terms
- real terms
- per cent
- other



2.10 Please indicate the unit of measurement applying to the constraint given by the rule:

(e.g., in a revenue allocation rule, unexpected revenue could be defined in nominal terms or % of GDP) \*

- nominal terms
- real terms
- per cent
- percentage points
- other



percentage points related to a specific concept of economic growth (e.g. potential output), specify:

\*



Please specify:



(maximum 200 caractères)



Additional information:

(maximum 500 caractères)



2.11 Are there any exclusions in the coverage of the above rule? \*

yes

no



Additional information:

(maximum 500 caractères)



2.12 Please indicate the budgetary elements that are excluded from the coverage of the rule, if any (multiple replies possible): \*

Interest payments.

Public investment.

Others.



2.13 In case of revenue allocation rules, please indicate to what area unexpected or specific revenue is to be allocated to (multiple replies possible): \*

- deficit reduction
- specific funds (e.g. pension funds)
- other



Please specify the relevant definition of public investment applied (e.g. gross or net investment, including only specific items, etc.):

\*

(maximum 500 caractères)



specific funds, specify (maximum 200 caractères)



Specify:

\*

(maximum 200 caractères)



Additional information:

(maximum 500 caractères)




Additional information:

(maximum 500 caractères)




2.14 Please indicate the estimated value of items covered by the rule as a percentage of for local government expenditure (taking into account exclusions, if any): \*


- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 2.14 Please indicate the estimated value of items covered by the rule as a percentage of local government revenue ,(taking into account exclusions, if any): \*


- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 2.15 Please indicate the estimated value of items covered by the rule as a percentage of regional government expenditure (taking into account exclusions, if any): \*


- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 2.15 Please indicate the estimated value of items covered by the rule as a percentage of regional government revenue (taking into account exclusions, if any): \*


- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 2.16 Please indicate the estimated value of items covered by the rule as a percentage of central government expenditure (taking into account exclusions, if any): \*

- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 2.16 Please indicate the estimated value of items covered by the rule as a percentage of central government revenue (taking into account exclusions, if any): \*

- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 2.17 Please indicate the estimated value of items covered by the rule as a percentage of social security government expenditure (taking into account exclusions, if any): \*

- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |





2.17 Please indicate the estimated value of items covered by the rule as a percentage of social security

government revenue (taking into account exclusions, if any): \*

- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |



Additional information:


(maximum 500 caractères)




2.18 Please indicate the estimated value of items covered by the rule as a percentage of general government

expenditure (taking into account exclusions, if any): \*


- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 2.18 Please indicate the estimated value of items covered by the rule as a percentage of general government revenue (taking into account exclusions, if any): \*


- |                                |                                |                                 |
|--------------------------------|--------------------------------|---------------------------------|
| <input type="radio"/> 1 to 5   | <input type="radio"/> 36 to 40 | <input type="radio"/> 71 to 75  |
| <input type="radio"/> 6 to 10  | <input type="radio"/> 41 to 45 | <input type="radio"/> 76 to 80  |
| <input type="radio"/> 11 to 15 | <input type="radio"/> 46 to 50 | <input type="radio"/> 81 to 85  |
| <input type="radio"/> 16 to 20 | <input type="radio"/> 51 to 55 | <input type="radio"/> 86 to 90  |
| <input type="radio"/> 21 to 25 | <input type="radio"/> 56 to 60 | <input type="radio"/> 91 to 95  |
| <input type="radio"/> 26 to 30 | <input type="radio"/> 61 to 65 | <input type="radio"/> 96 to 100 |
| <input type="radio"/> 31 to 35 | <input type="radio"/> 66 to 70 |                                 |

 Additional information:


(maximum 500 caractères)

 2.19 According to its establishing act, does the budget balance rule provide for a margin of adjustment to the government in specifying the deficit ceiling? \*

- Yes, the government has some freedom to adjust the ceiling.

 2.19 According to its establishing act, does the expenditure rule provide for a margin of adjustment to the government in specifying the expenditure ceiling (or any other constraint to expenditure, as appropriate)? \*

- Yes, the government has some freedom to adjust the ceiling.
- No, the ceiling is definitely determined by the establishing act of the rule.

 2.19 According to its establishing act, does the debt rule provide for a margin of adjustment to the government in specifying the debt ceiling (or any other constraint to debt or its growth, as appropriate)?

\*

- Yes, the government has some freedom to adjust the ceiling.
- No, the ceiling is entirely determined by the establishing act of the rule.



2.19 According to its establishing act, does the revenue rule provide for a margin of adjustment to the government in specifying the parameters of the rule?

\*

- Yes, the government has some freedom to adjust the parameters.
- No, the parameters are entirely determined by the establishing act of the rule.



2.20 Please describe how the ceiling can be adjusted by the government:

\*

(maximum 500 caractères)



2.20 Please describe how the parameters can be adjusted by the government:

\*

(maximum 500 caractères)



Additional information: (maximum 500 caractères)



2.21 Please indicate the numerical value(s) of the budget balance ceiling (e.g., in case of budget balance rules in % of current GDP, please indicate the numerical value of % of GDP. In case of nominal ceilings in levels, please indicate the amount, etc.). *t* refers to the ongoing budget year.

For year  $t+1$ :

\*





2.21 Please indicate the numerical value(s) of the expenditure ceiling (e.g., in case of expenditure rules in % of current GDP, please indicate the numerical value of % of GDP. In case of nominal ceilings in levels, please indicate the amount, etc.). *t* refers to the ongoing budget year.



\*





2.21 Please indicate the numerical value(s) of the debt ceiling (e.g., in case of debt rules in % of current GDP, please indicate the numerical value of % of GDP. In case of nominal ceilings in levels, please indicate the amount, etc.). *t* refers to the ongoing budget year. \*

  ... year t+2 \*



Empty content area for year t+2.

  ... year t+3 \*

Empty content area for year t+3.

  ... year t+4 \*

Empty content area for year t+4.

  ... year t+5 \*

Empty content area for year t+5.



If the rule cannot be immediately described in the above terms, please provide a verbal description of the target(s), unit(s) of measurement, and numerical values of the constraint established by the rule:

(maximum 4000 caractères)

### 3 Statutory base of the rule.

3.1 Please, indicate which of the following best describes the statutory/legal base of the rule:

\*

- |  |  |                             |
|--|--|-----------------------------|
| <input type="radio"/> political agreement between coalition partners medium-term agreement.                                | <input type="radio"/> basic (i.e. other than constitutional/organic) law | <input type="radio"/> other |
| <input type="radio"/> commitment made by an authority (e.g., Minister of Finance, president of a regional government etc.) | <input type="radio"/> organic law  |                             |
| <input type="radio"/> agreement between sectors of general government (e.g., Domestic Stability Pact)                      | <input type="radio"/> constitutional law                                 |                             |



Specify:

\*

(maximum 500 caractères)

Additional information: [Max 500 characters] (maximum 500 caractères)



3.2 Please indicate the number of years over which the measures laid down in law extend:

\*

- 1                       4                       Unspecified
- 2                       5
- 3                       More



3.3 Please indicate the number of years over which the agreement extends: \*

- 1                       4                       upon expiration, the agreement  
is habitually renewed
- 2                       5
- 3                       More



Additional information:

(maximum 500 caractères)



3.4 Please indicate the party or parties making the commitment:

\*

(maximum 500 caractères)



Additional information:

(maximum 500 caractères)

3.5 Please give a precise reference to the official document(s) that form the statutory base of the rule:

\*

3.6 Please upload a pdf version of the above legal text(s) or official document(s) in original language and in English if available.

\*



## 4 Monitoring of compliance with the rule.

4.1 Who is in charge of monitoring respect of the rule? \*

- there is no formal monitoring of compliance with the rule
- Court of Auditors
- other
- Ministry of Finance
- governmental body other than the Ministry of Finance
- Parliament
- independent fiscal institution other than the Court of Auditors

 Specify:

\*  
(maximum 500 caractères)

Additional information:

(maximum 500 caractères)

4.2 Is there a real-time monitoring?

\*

- No
- Yes



Specify: \* (maximum 1000 caractères)



4.3 Is a monitoring report issued?

\*

No

Yes



Please specify how often this is done: \* (maximum 2000 caractères)



4.4 Please indicate which of the following applies to the monitoring report (*multiple replies possible*): \*

- the government is not obliged to comment on the monitoring report, but typically does so (e.g. by a public statement)
- the government is obliged to comment on the monitoring report, but typically does so (e.g. by a public statement)
- the monitoring report is presented in Parliament
- the monitoring report is available to the public



the monitoring report is presented in Parliament, specify:

\*

(maximum 500 caractères)



the monitoring report is available to the public, specify:

\*

(maximum 500 caractères)

Additional information: (maximum 500 caractères)

## 5 Enforcement of compliance with the rule

5.1 Please indicate which body is in charge of enforcing compliance with the rule in case of non-compliance:

\*

- There is no body in charge with enforcing compliance .
- Court of Auditors.
- an independent body or review panel (other than the Fiscal council) specifically created to ensure enforcement of the rule.
- Ministry of Finance.
- governmental body other than the Ministry of Finance.
- Other.
- Parliament.
- an independent fiscal institution other than the Court of Auditors



Specify:

\*

(maximum 500 caractères)

Additional information:

(maximum 500 caractères)

5.2 Please indicate the characteristics of the escape clauses:

\*

- There are no pre-defined escape clauses.
- Derogations are possible in case of specific situations (e.g., natural disasters, exceptional slowdown, etc.).
- Derogations are possible if budgetary problems arise in specific, sensitive areas (e.g., health care).
- Other.

 Specify:

\*

(maximum 500 caractères)

Additional information:

(maximum 500 caractères)

 5.3 To what extent are escape clauses specified in the establishing act/legal base of the rule? \*

- the establishing act lists all events or circumstances that allow for a derogation
- the circumstances triggering the derogation are not fully specified; there is some margin of discretion



Additional information: (maximum 500 caractères)



Indicate which events are included:

\*

Natural disasters.

Exceptional economic slowdown.

Other.



Specify:

\*

(maximum 500 caractères)



Additional information:

(maximum 500 caractères)



5.4 Who has the right to declare that the escape clauses are in effect?

\*

- the government.       the parliament.       other.  
 the minister of finance.       an independent fiscal institution



Specify:

\*

(maximum 200 caractères)



Additional information:

(maximum 500 caractères)

5.5 Does the annual budget document contain a reference to the numerical fiscal rule?

\*

- Yes, there is a chapter devoted to compliance with the rule and/or the specification of the implied target.       Yes, there is cursory reference to the numerical fiscal rule and/or the implied target.       No.

Additional information:

(maximum 500 caractères)

5.6 Are there pre-defined actions to be taken in case or risk of non-compliance with the targets implied by the rule?

\*

Yes

No



5.7 What describes best the actions taken in case or risk of non-compliance with the targets implied by the rule? *(multiple replies possible)*

\*

- the government/the Ministry of Finance is obliged to propose prepare a proposal of corrective measures for the Parliament/the respective enforcement body
- the government/the Ministry of Finance is obliged to take specific corrective actions
- there is an automatic correction mechanism (e.g., a cut in next year's resources upon non compliance);
- there is a possibility to impose sanctions
- there is an automatic sanction mechanism in case of non-compliance
- other



the government/the Ministry of Finance is obliged to take specific corrective actions, specify:

\*

(maximum 500 caractères)





there is an automatic correction mechanism (e.g., a cut in next year's resources upon non compliance), please describe the mechanism

\*

(maximum 500 caractères)



there is a possibility to impose sanctions, please describe the mechanism:

\*

(maximum 2000 caractères)



there is an automatic sanction mechanism in case of non-compliance, please describe the mechanism:

\*

(maximum 2000 caractères)



other, specify:

\*

(maximum 2000 caractères)

Additional information:

(maximum 1000 caractères)

## 6 Media visibility of the rule

This section has been added in the 2010 questionnaire. Please fill it even for rules already in force before 2010.

6.1 Which of the following describes the average degree of media and public reaction in case of non-compliance with the rule best?

\*

- |  |  |                             |
|--|--|-----------------------------|
| <input type="radio"/> non-compliance is rare   | <input type="radio"/> non-compliance sometimes happens, and is well covered by media, but it is unlikely to invoke a public debate | <input type="radio"/> other |
| <input type="radio"/> non-compliance sometimes happens, but there is little media coverage of this | <input type="radio"/> non-compliance sometimes happens, and is well covered by media, invoking a public debate                     |                             |



Specify:



(maximum 200 caractères)

Additional information:

(maximum 500 caractères)

## 7 Compliance with the rule in 2010



7.1 Was the budget law adopted for the budgetary year 2010 compliant with the rule? \*


Yes

No



Additional information:

(maximum 500 caractères)

 7.2 Were the results of implementing the budget law 2010 compliant with the rule?


\*

Yes

No


 Additional information [Max 500 characters]

(maximum 500 caractères)

 7.3 Please give a quantitative assessment of compliance with the rule in 2010 by budgetary outcomes, consistent with the entries in section 2 on the target definition, unit of measurement, and numerical value of the target (e.g., in case of a rule prescribing that the cyclically-adjusted general government balance is not higher than 1.5% of GDP, please specify the cyclically-adjusted general government balance achieved): [Max 100 characters]

\*

(maximum 1000 caractères)

 7.3 Please give a quantitative assessment of compliance with the rule in 2010 by budgetary outcomes, consistent with the entries in section 2 on the target definition, unit of measurement, and numerical value of the target (e.g., in case of a rule prescribing that the growth rate of nominal expenditure does not exceed 1%, please specify the growth rate of nominal expenditure achieved): \* (maximum 1000 caractères)



7.3 Please give a quantitative assessment of compliance with the rule in 2010 by budgetary outcomes, consistent with the entries in section 2 on the target definition, unit of measurement, and numerical value of the target (e.g., in case of a rule prescribing that the growth rate of real debt does not exceed 1%, please specify the growth rate of real debt achieved): \* (maximum 1000 caractères)



7.3 Please give a quantitative assessment of compliance with the rule in 2010 by budgetary outcomes, consistent with the entries in section 2 on the target definition, unit of measurement, and numerical value of the target (e.g., in case of a rule prescribing that excess revenue has to be fully allocated to deficit reduction, please describe the extent to which this was indeed done): \* (maximum 1000 caractères)



7.4 If the implementation of the budget was not compliant with the rule in 2010, please specify the main reason(s) (multiple replies possible): \*

- deviation between forecasted GDP and its realization
- unexpected revenue shortfalls due to adverse macro-economic developments
- unexpected revenue shortfalls due to legislative changes
- unexpected mandatory spending obligations (e.g., new or amended legislation)
- unexpected urgent need for discretionary spending (e.g., disaster relief or war)
- unexpected interest increase on existing debt
- other



deviation between forecasted GDP and its realization, specify:

\*

(maximum 200 caractères)



unexpected revenue shortfalls due to adverse macro-economic developments, specify:

\*

(maximum 200 caractères)



unexpected revenue shortfalls due to legislative changes, specify:

\*

(maximum 200 caractères)



unexpected mandatory spending obligations (e.g., new or amended legislation), specify:

\*

(maximum 200 caractères)



unexpected urgent need for discretionary spending (e.g., disaster relief or war), specify:

\*

(maximum 200 caractères)



unexpected interest increase on existing debt, specify:

\*

(maximum 200 caractères)



other, specify:



(maximum 200 caractères)



Additional information:

(maximum 500 caractères)



7.5 Please indicate the perceived nature of the constraint defined by the rule in 2010: \*

- Compliance with the rule could be achieved easily.       Compliance with the rule could be achieved with difficulty.       Compliance with the rule could not be achieved.



Additional information:

(maximum 500 caractères)

## 8 Long-term impact of the rule



8.1 Please indicate the entry into force of the rule in its present version: \*

- |                                   |                            |                            |
|-----------------------------------|----------------------------|----------------------------|
| <input type="radio"/> before 1990 | <input type="radio"/> 1996 | <input type="radio"/> 2003 |
| <input type="radio"/> 1990        | <input type="radio"/> 1997 | <input type="radio"/> 2004 |
| <input type="radio"/> 1991        | <input type="radio"/> 1998 | <input type="radio"/> 2005 |
| <input type="radio"/> 1992        | <input type="radio"/> 1999 | <input type="radio"/> 2006 |
| <input type="radio"/> 1993        | <input type="radio"/> 2000 | <input type="radio"/> 2007 |
| <input type="radio"/> 1994        | <input type="radio"/> 2001 | <input type="radio"/> 2008 |
| <input type="radio"/> 1995        | <input type="radio"/> 2002 | <input type="radio"/> 2009 |

8.2 Since the entry into force of the rule, have the budget laws adopted typically been compliant with the rule? \*

- |                                      |   |                                       |
|--------------------------------------|---|---------------------------------------|
| <input type="radio"/> (almost) never | <input type="radio"/> around 50% of cases | <input type="radio"/> (nearly) always |
| <input type="radio"/> rarely         | <input type="radio"/> often               |                                       |

Additional information:


(maximum 500 caractères)

8.3 Since the entry into force of the rule, has the implementation of the budget law typically been compliant with the rule? \*

- |                                      |   |                                       |
|--------------------------------------|---|---------------------------------------|
| <input type="radio"/> (almost) never | <input type="radio"/> around 50% of years | <input type="radio"/> (nearly) always |
| <input type="radio"/> rarely         | <input type="radio"/> often               |                                       |

Additional information:

(maximum 500 caractères)

 8.4 Please specify the main reason(s) for non-compliance with the rule of the implementation of the budget: (*multiple replies possible*)

\*

- deviation between forecasted GDP and its realisation
- unexpected revenue shortfalls due to adverse macro-economic developments
- unexpected revenue shortfalls due to legislative changes
- unexpected mandatory spending obligations (e.g., new or amended legislation)
- unexpected urgent need for discretionary spending (e.g., disaster relief or war)
- unexpected interest increase on existing debt
- other


 Specify:

\*

(maximum 200 caractères)

Additional information:

(maximum 500 caractères)

 8.5 Please indicate on the nature of the constraint defined by the rule: \*

- Compliance with the rule could typically be achieved easily.       Compliance with the rule could typically be achieved with difficulty.



Additional information:

\*

(maximum 500 caractères)



8.6 Please indicate the effect of the rule on public debt of the sector(s) of general government to which it applies: so far, the rule has contributed to... \*

- having down the increase in public debt as a percentage of GDP       reducing public debt as % of GDP       other
- stabilizing public debt as % of GDP       the rule had no significant effect on public debt as % of GDP



Specify:


\*

(maximum 500 caractères)



Additional information:

(maximum 500 caractères)

 8.7 Please indicate the effect of the rule on the budget balance: so far, the rule has contributed to... *(multiple replies possible)*

\*

- constraining the budget deficit of the sector(s) concerned
- reaching the medium-term objective (MTO) for general government
- foster compliance of general government with the Maastricht deficit criterion
- the rule had no significant effect on the budget deficit of the sector(s) of general government concerned
- other

 Specify:


\*

*(maximum 200 caractères)*



Additional information:

*(maximum 500 caractères)*

 8.8 Please indicate the effect of the rule on expenditure of the sector(s) of general government to which it applies: so far, the rule has contributed to... *(multiple replies possible)* \*

- reducing expenditure growth
- changing the composition of expenditure
- constraining investment
- the rule had no significant effect on expenditure of the sector(s) of general government concerned
- other



Specify:

\*

(maximum 500 caractères)



Additional information:

(maximum 500 caractères)



8.9 Please indicate the effect of the rule on revenues of the sector(s) of general government to which it applies:

so far, the rule has contributed to... *(multiple replies possible)*\*

- increase public revenues
- decrease public revenues
- affect the composition of revenues
- the rule had no significant effect on revenues of the sector(s) of general government concerned
- other



Specify:

\*

(maximum 500 caractères)



Additional information:

(maximum 500 caractères)



8.10 Please indicate the effect of the rule on the conduct of fiscal policy over the cycle: so far, the rule has contributed to... \*

- |   |   |
|---|---|
| <input type="radio"/> limiting or preventing the implementation of pro-cyclical fiscal policies in good times | <input type="radio"/> the rule had no significant effect on the conduct of fiscal policy over the cycle |
| <input type="radio"/> limiting the work of automatic stabilizers in bad times                                 | <input type="radio"/> other   |



Specify:

\*

(maximum 500 caractères)



Additional information:

(maximum 500 caractères)

8.11 According to general perception, is the rule contributing to budgetary discipline?

\*

- The rule is not or hardly contributing to budgetary discipline.  The rule is regarded to contribute to budgetary discipline.  The rule is importantly contributing to budgetary discipline.

Additional information:

(maximum 500 caractères)

## 9 End of the questionnaire

9.1 Contact details of the respondents: Names, firstnames, positions, departments, institutions, addresses, emails, phone numbers.

\*

9.2 Comments or remarks concerning the questionnaire:

(maximum 2000 caractères)